

Note: [20 May 2021] – Proposed changes to NP 11-207 arising from NI 51-102. Refer to Annex F of the CSA Notice announcing proposed amendments to NI 51-102 dated 20 May 2021.

**PROPOSED CHANGES TO
NATIONAL POLICY 11-207 FAILURE-TO-FILE CEASE TRADE ORDERS AND
REVOCATIONS IN MULTIPLE JURISDICTIONS**

- 1. *National Policy 11-207 Failure-to-File Cease Trade Orders and Revocations in Multiple Jurisdictions is changed by this Document.***
- 2. *Section 3 is changed by adding the following definitions:***

"annual disclosure statement" has the same meaning as in National Instrument 51-102 Continuous Disclosure Obligations;

"interim disclosure statement" has the same meaning as in National Instrument 51-102 Continuous Disclosure Obligations,;
- 3. *Subsection 24(1) is changed by replacing "annual or interim financial statements, MD&A or MRFP, and certification of filings" with "annual disclosure statement, interim disclosure statement, annual information form, annual financial statements, interim financial report, MD&A or MRFP, and certificate required under National Instrument 52-109 Certification of Disclosure in Issuers' Annual and Interim Filings".***
- 4. *Section 25 is changed***
 - (a) by adding "interim disclosure statements," before "interim financial reports" wherever it occurs, and***
 - (b) in subsection (a) by adding "annual disclosure statements," before "audited annual financial statements".***
- 5. These changes become effective on [December 15, 2023].**