

ALBERTA SECURITIES COMMISSION NOTICE
PROPOSED AMENDMENTS TO
NATIONAL INSTRUMENT 14-101 - *DEFINITIONS*

National Instrument 14-101 - *Definitions* ("NI 14-101") was adopted by the Alberta Securities Commission and each of the other members of the Canadian Securities Administrators (the "CSA") and came into force on April 1, 1997. It was amended for the first time in July 1999. The CSA are now proposing to amend it for a second time. The proposed amendments add, clarify, and delete certain defined terms in NI 14-101.

Summary of Proposed Amendments

The proposed amendments to the National Instrument will

- 1) add definitions of the following terms:
 - IDA
 - MFDA
 - MRRS
 - SEDAR;
- 2) add a reference to Nunavut in the Appendices;
- 3) clarify the definitions of "insider reporting requirement" and "jurisdiction";
- 4) delete the terms "multilateral instrument" and "national instrument";
- 5) add definitions of the terms "provincial and territorial securities directions", "provincial and territorial securities legislation", and "provincial and territorial securities regulatory authorities". These terms have the same definitions as "Canadian securities directions", "Canadian securities legislation" and "Canadian securities regulatory authorities", and will be the terms used in future national and multilateral instruments and policies in the same context. The CSA believe that the new expressions are more accurate. Because the terms "Canadian securities directions", "Canadian securities legislation" and "Canadian securities regulatory authorities" are already used in existing national and multilateral instruments and policies, they will not be deleted from the National Instrument. They are, however, being amended to refer to the corresponding new terms.

Comments

Interested parties are invited to make written submissions with respect to the proposed amendments to the National Instrument. Submissions received by December 28, 2001 will be considered.

Submissions should be sent to all of the securities regulatory authorities listed below in care of the Commission des valeurs mobilières du Québec, in duplicate, as indicated below:

British Columbia Securities Commission

Alberta Securities Commission

Saskatchewan Securities Commission

Manitoba Securities Commission

Ontario Securities Commission

Commission des valeurs mobilières du Québec

Office of the Administrator, New Brunswick

Registrar of Securities, Prince Edward Island

Nova Scotia Securities Commission

Department of Government Services and Lands, Newfoundland and Labrador

Registrar of Securities, Government of Northwest Territories

Registrar of Securities, Government of Yukon

Registrar of Securities, Nunavut

c/o Denise Brosseau, Secretary

Commission des valeurs mobilières du Québec

Stock Exchange Tower

P.O. Box 246, 22nd Floor

Montréal, Québec H4Z 1G3

A diskette containing the submissions (in Windows format, preferably Word) should also be submitted. As securities legislation in certain provinces requires that a summary of written comments received during the comment period be published, confidentiality of submissions cannot be maintained.

Questions may be referred to any of:

Jonathan Sarin

Legal Counsel, Policy and Legislation

British Columbia Securities Commission

(604) 899-6653

Jane Brindle

Legal Counsel

Alberta Securities Commission

(403) 297-4482

Kathleen Finlay

Manager, Project Office

Ontario Securities Commission

(416) 593-8125

Rosetta Gagliardi
Conseillère en réglementation
Commission des valeurs mobilières du Québec
(514) 940-2199 ext 4554

Proposed Amendments

The text of the proposed amendments follows.

DATED: September 28, 2001.