

ALBERTA SECURITIES COMMISSION

BLANKET ORDER 13-502

Citation: SEDAR Filing of Certain Distribution Materials, 2015 ABASC 945

Date: 20151120

Definitions

1. Terms defined in National Instrument 14-101 *Definitions* or in the *Securities Act* (Alberta) (the **Act**) have the same meaning in this Blanket Order.

Background

2. Section 2.1(5) of National Instrument 13-101 *System for Electronic Document Analysis and Retrieval (SEDAR)* (**NI 13-101**) prohibits a person or company that is not required to comply with NI 13-101 from filing a document on SEDAR (the **SEDAR Prohibition**).
3. As discussed in *Multilateral CSA Notice of Amendments to National Instrument 13-101 System for Electronic Document Analysis and Retrieval (SEDAR) and Multilateral Instrument 13-102 System Fees for SEDAR and NRD* (dated December 3, 2015), changes to NI 13-101, to take effect on May 24, 2016 (the **Implementation Date**) will require that certain material currently filed in paper format instead be filed electronically through SEDAR.
4. In view of the pending mandatory change in filing requirements, the SEDAR Prohibition is no longer necessary in the public interest in respect of certain distribution-related material.

Order

5. The Alberta Securities Commission, considering that it would not be prejudicial to the public interest, orders under section 213 of the Act that section 2.1(5) of NI 13-101 is inapplicable with respect to (i) offering memoranda, (ii) reports of exempt distribution and (iii) documents required to be filed under section 2.9 of National Instrument 45-106 *Prospectus Exemptions*.
6. This Blanket Order takes effect on December 7, 2015 and expires on the Implementation Date.

For the Commission:

“original signed by”
Stephen Murison
Vice-Chair

“original signed by”
Fred Snell, FCA
Member