

ALBERTA SECURITIES COMMISSION
REVOCATION

Citation: Re Strip Bond Information Statements, 2015 ABASC 529

Date: 20150115

Background

1. On 4 June 2014, the Director, Market Regulation, pursuant to an Authorization Order dated 22 July 2013 accepted a revised form of strip bond information statement (the **Information Statement**) submitted by IIROC pursuant to section 3.1(3) of Alberta Securities Commission Rule 91-504 *Strip Bonds* (the **Strip Bond Rule**).
2. The Director, Market Regulation's acceptance is evidenced in the decision document *Re The Investment Industry Regulatory Organization of Canada*, 2014 ABASC 516 (the **Decision Document**) which is published, with the Information Statement, on the Alberta Securities Commission website.
3. In a notice dated 26 June 2014 IIROC requested that its members begin using the Information Statement no later than 2 January 2015.
4. The Information Statement replaces
 - (a) the strip bond information statement that the Executive Director previously found to be satisfactory, as evidenced in the MRRS Decision Document dated 28 July 2003 (**Previous Decision**) issued to IIROC's predecessor, the Investment Dealer Association of Canada (**IDA**).
 - (b) the strip bond information statement dated April 1998 submitted by the IDA and determined to be in a form acceptable to the Executive Director as at May 1, 1998 (**Previous Acceptance**).
5. Pursuant to section 214(1.1) of the *Securities Act*, R.S.A. 2000, c.S-4 (**Act**) the Executive Director may, if the Executive Director considers it would not be prejudicial to the public interest to do so, make an order revoking any decision made by the Executive Director under the Act or regulations.

Decision

6. The undersigned, considering that it would not be prejudicial to the public interest to do so orders under section 214(1.1) of the Act that the Previous Decision and the Previous Acceptance are revoked.

15 January 2015.

"original signed by"

David Linder, QC
Executive Director,
Alberta Securities Commission