Alberta Securities Commission
Registered Firms Based in Alberta

Report Created on: 2024-01-24

Report as of: 2024-01-24

Individuals seeking information in relation to the contact information or registration categories of a registered firm with its head office located in Alberta may wish to refer to the list below. The list displays ACTIVE Alberta based registrant firms on the National Registrant Database as of the report date. Every effort is made to ensure the accuracy of the information contained in the list, however we cannot guarantee same.

A registered firm with its head office located in Alberta that does not appear on the list or has identified inaccuracies with the information contained in the list should contact ASC registration staff promptly at: registration@asc.ca. and the list will be modified as necessary.

For additional information on these and all other firms that are currently registered with securities regulatory authorities in Canada, refer to the National Registration Search on the CSA website link below:
https://www.securities-administrators.ca/nrs/nrsearchprep.aspx

Registration Category Legends:

EMD - Exempt Market Dealer
MFD - Mutual Fund Dealer
SPD - Scholarship Plan Dealer

ID - Investment Dealer
RD - Restricted Dealer

PM - Portfolio Manager
RPM - Restricted Portfolio Manager
IFM - Investment Fund Manager

Name	Registration Category	Head Office Address	Telephone	Website
32 DEGREES CAPITAL ADVISOR LTD.	EMD/IFM/RPM	650, 635- 8th Avenue SW Calgary AB T2P3M3	403-695-1075	https://www.32degrees.ca
ACCELERATE FINANCIAL TECHNOLOGIES INC.	EMD/IFM/PM	Suite 310 - 140 10th Ave SE Calgary AB T2G 0R1	1-855-892-0740	https://www.accelerateshares.com
ACUMEN CAPITAL FINANCE PARTNERS LIMITED	ID	500 - 4th Ave. SW Suite 800 Calgary AB T2P 2V6	403-571-0300	https://acumencapital.com/
ALCEDIO SECURITIES INC.	EMD	Suite 1100 634 - 6 Ave SW Calgary AB T2P 0S4	403-809-7001	https://alcediocapital.com/
ALTA WEST MORTGAGE CAPITAL CORPORATION	EMD/IFM/RPM	#810, 10201 Southport Road SW Calgary AB T2W 4X9	1-888-554-9075	https://www.awcapital.ca
ANNAPOLIS CAPITAL LIMITED	EMD/IFM/RPM	2530, 140-4th Avenue,SW Calgary AB T2P 3N3	403-231-4430	https://www.annapoliscapital.ca
ARC FINANCIAL CORP.	EMD/IFM/RPM	400 3rd Avenue SW Suite 4300 Calgary AB T2P4H2	403-292-0680	https://www.arcfinancial.com
ATB CAPITAL MARKETS INC.	ID	#410, 585 - 8 Ave. SW Calgary AB T2P 1G1	403-539-8600	https://www.atbcapitalmarkets.com
ATB INVESTMENT MANAGEMENT INC.	IFM/PM	21st Floor, 10020-100 Street NW Edmonton AB T5J 0N3	1-888-282-3863	https://www.atb.com/wealth
ATLAS CAPITAL INC.	EMD	Dome Tower Suite 1900 333 7 Ave SW Calgary AB T2P 2Z1	403-984-1943	https://www.atlascapital.ca
AUSPICE CAPITAL ADVISORS LTD.	EMD/IFM/PM	Suite 510, 1000-7th Avenue SW Calgary AB T2P 5L5	188-879-2929	https://www.auspicecapital.com
AXCESS CAPITAL ADVISORS INC.	EMD/IFM/RPM	Suite 210 221 62 Ave SE Calgary AB T2H 0R5	403-225-4575	https://www.axcesscapital.com
BELAY WEALTH INC.	MFD	400, 7015 Macleod Trail SW Calgary AB T2H 2K6	587-393-7873	https://www.belaywealth.com

Total Firm Number: 109

BIZMARK BUSINESS MARKETS INC.	EMD	10, 156 St. Albert Trail St. Albert AB T8N 0P5	403-830-4134	https://bizmark.ca/
BOWMONT CAPITAL AND ADVISORY LTD.	EMD	16 Discovery Ridge Cove SW Calgary AB T3H 4Y1	403-992-2770	www.bowmontcapital.com
BROWNSTONE ASSET MANAGEMENT INC.	EMD/IFM/PM	#300, 229 - 11th Avenue SE Calgary AB T2G 0Y1	403-262-2250	https://www.brownstoneam.com
BUILDERS CAPITAL MANAGEMENT CORP.	EMD/IFM/RPM	260 1414 8 St. SW Calgary AB T2R 1J6	403-985-9888	https://www.builderscapital.ca
CALROCK BROKERS INC.	RD	#2610, 225 6th Ave SW Calgary AB T2P 1N2	403-817-2160	
CALVERT HOME MORTGAGE INVESTMENT CORPORATION	EMD/IFM/RPM	127, 808 42 Avenue SE Calgary AB T2G 1Y9	403-278-0249	https://www.chmic.ca
CAMBER CAPITAL CORP	EMD/PM	1900 333-7th Ave SW Calgary AB T2P 2Z1	587-392-6018	https://www.cambercapital.com/
CANADIAN WESTERN FINANCIAL LTD.	MFD	#3000, 10303 Jasper Avenue Edmonton AB T5J3X6	780-423-8888	https://www.canadianwesternfinancial.com
CANOE FINANCIAL LP	EMD/IFM/PM	Suite 2750, 421- 7th Avenue SW Calgary AB T2P 4K9	403-571-5550	www.canoefinancial.com
CAPLINK FINANCIAL CORPORATION	EMD/IFM/RPM	Suite 1000, 8215 - 112 Street Edmonton AB T6G 2C8	780-429-0114	https://caplink.ca/
CARBON INFRASTRUCTURE PARTNERS CORP.	EMD/IFM/RPM	Suite 2370 440 - 2 Ave. SW Calgary AB T2P 5E9	403-232-3340	www.carboninfrastructurepartners.com
CARECANA MANAGEMENT CORP.	IFM/RPM	Suite 1800 555-4th Avenue SW Calgary AB T2P 3E7	403-648-3613	https://www.carecanacorp.com
CEDAR PEAKS MANAGEMENT CORP.	EMD	104, 7370 Sierra Morena Blvd SW Calgary AB T3H 4H9	403-249-2007	http://www.cedarpeaksmortgage.ca
CORNERSTONE INVESTMENT COUNSEL LTD.	IFM/PM	Suite 601, 438 11 Avenue SE Calgary AB T2G 0Y4	403-266-5360	https://www.myfiduciary.ca
CROWN PRIVATE CREDIT PARTNERS INC./ PARTENAIRES DE CREDIT PRIVE CROWN	EMD/IFM/PM	700, 2nd Street SW 19th Floor Calgary AB T2P 2W2	403-775-2554	www.crownprivatecredit.ca
CVC MARKET POINT INC.	EMD	Suite 1800 555-4th Avenue SW Calgary AB T2P 3E7	403-538-5837	https://www.cvcmarketpoint.com
CWB WEALTH MANAGEMENT LTD. / CWB GESTION DE PATRIMOINE LTEE	EMD/IFM/PM	3000-10303 Jasper Avenue NW Edmonton AB T5J3X6	780-429-3500	www.cwbwealth.com
CWB WEALTH PARTNERS LTD.	ID	801 10th Avenue S.W. Calgary AB T2R 0B4	403-234-6100	https://www.cwbwealth.com/
D.W.GOOD INVESTMENT COMPANY LIMITED	MFD	Suite 256 Bonnie Doon Shopping Centre 82 Ave. & 83 Street Edmonton AB T6C 4E3	780-433-5449	https://www.dwgood.com/

ELEVATE FINANCIAL CORP.	EMD/IFM/RPM	#513, 14032 23 Avenue NW Edmonton AB T6R 3L6	780-328-6489	
EMERGING EQUITIES INC.	ID	628 Rideau Road S.W. Calgary AB T2S 0R6	403-216-8200	http://www.emergingequities.ca
ENDURANCE CAPITAL CORPORATION	EMD/IFM/PM	202, 10310-102 Avenue Edmonton AB T5J 5A2	587-590-7091	https://www.endurancecapital.ca
FREETHINK CAPITAL INC.	EMD/IFM/PM	Suite 100, 11523 100 Avenue NW Edmonton AB T5K 0J8	780-443-6980	https://www.freethinkcapital.com
FRONTIER FINANCIAL INC.	PM	10721 Saint Gabriel School Road Edmonton AB T6A 3S7	780-469-6694	www.frontierfinancial.ca
GLOBAL WEALTH BUILDERS LTD.	IFM/PM	Unit 59, 18343 Lessard Rd N.W. Edmonton AB T6M 0A2	780-488-2858	http://www.gwealth.ca
GOLD INVESTMENT MANAGEMENT LTD.	PM	Suite 502 Fox One 10226 - 104 Street NW Edmonton AB T5J 1B8	780-436-9955	https://www.gold-im.com
GRACORP CAPITAL ADVISORS LTD.	EMD	10840,27th Street SE Calgary AB T2Z3R6	403-570-5000	https://www.gracorpcapital.com
GRAYHAWK INVESTMENT STRATEGIES INC.	EMD/IFM/PM	1811 4th Street SW, Suite 513 Calgary AB T2S 1W2	1-877-665-9951	https://grayhawkwealth.com/
HEMISPHERE CAPITAL MANAGEMENT INC.	IFM/PM	Life Plaza Suite 603, 734-7th Avenue S.W. Calgary AB T2P3P8	403-205-3533	https://www.hemisphere.ca
HIGH LEVEL WEALTH MANAGEMENT INC.	PM	11212 99 Ave. NW Edmonton AB T5K 0G8	780-851-8088	https://www.highlevelwealth.ca
ICM INVESTMENT MANAGEMENT INC.	EMD/IFM/PM	700,404-6 Avenue SW Calgary AB T2P 0R9	403-256-5350	https://www.icmassetmanagement,com
INVICO CAPITAL CORPORATION	EMD/IFM/PM	600, 209 - 8th Avenue SW Calgary AB T2P 1B8	403-538-4771	https://www.invicocapital.com
IRIS ASSET MANAGEMENT LTD.	PM	Suite 440, 255 5th Avenue S.W. Calgary AB T2P 3G6	403-719-1111	https://www.irisassetmanagement.com
JAYCAP FINANCIAL LTD.	EMD/IFM/RPM	Suite 606,10216- 124 Street Edmonton AB T5N 4A3	780-641-0555	https://www.jaycapfinancial.com
KAYAK CAPITAL MANAGEMENT INC.	EMD/IFM/PM	2311 Juniper Road NW Calgary AB T2N 3V3	403-401-7930	https://www.kayakcapital.ca
KINSTED WEALTH INC.	EMD/IFM/PM	605 5 Ave SW Suite 3000 Calgary AB T2P 3H5	403-532-8258	https://www.kinsted.com
KV CAPITAL INC.	EMD/IFM/RPM	Suite 101 1290-91 Street SW Edmonton AB T6X 0P2	780-433-1222	https://www.kvcapital.ca
LEEDE JONES GABLE INC.	ID	#3415, 421 - 7th Avenue SW TD Canada Trust Tower Calgary AB T2P 4K9	403-531-6800	https://leedejonesgable.com
LIBERTY HOUSE ASSET MANAGEMENT INC.	PM	Suite 100 505, 3 Street SW Calgary AB T2P 3E6	403-803-1892	

Total Firm Number: 109

LIBERTY MORTGAGE SERVICES LTD.	EMD/IFM/RPM	Unit 100, 7710 - 5 Street SE Calgary AB T2H 2L9	403-252-1977	https://www.libertymortgage.ca
LIFEWEALTH INC.	EMD/PM	401-3332 20 Street SW Calgary AB T2T 6T9	403-230-0151	
LIGHTYEAR CAPITAL INC.	ID	202 - 6TH AVENUE SW SUITE 660 Calgary AB T2P 2R9	403-218-1400	https://www.lightyearcapital.com
LINK PLAN MANAGEMENT INC.	PM	Suite 700, 707- 7 Avenue SW Calgary AB T2P 3H6	403-802-6020	https://link-plans.com/
LONGBOW CAPITAL INC	EMD/IFM/PM	Suite 701, 421 7th Avenue SW Calgary AB T2P 4K9	403-264-1888	https://www.longbowcapital.com
LUNDQUIST INVESTMENT MANAGEMENT INC.	PM	Calgary AB	403-483-2179	https://www.lundquistimi.com/
MAREX SPECTRON INTERNATIONAL LIMITED	RD	110- 9th Avenue SW Calgary AB T2P 0T1	+44-020-7655-6000	https://www.marexspectron.com
MATCO FINANCIAL INC.	MFD/IFM/PM	Suite 350, 440-2nd Avenue SW Calgary AB T2P 5E9	403-539-5740	https://www.matcofinancialinc.com
MAWER INVESTMENT MANAGEMENT LTD.	EMD/MFD/IFM/PM	600, 517 10 Avenue SW Calgary AB T2R 0A8	403-262-4673	https://www.mawer.com
MILESTONE WEALTH MANAGEMENT LTD.	PM	Suite 207 322-11th Avenue SW Calgary AB T2R 0C5	403-531-2444	https://www.milestonewealth.ca/
MIRADOR CORPORATION	IFM/PM	8936 Bay Ridge Drive SW Calgary AB T2V 3M8	403-718-0125	https://www.miradorwealth.com
MIZUHO SECURITIES CANADA INC.	ID	1271 Avenue of the Americas Floor 2 New York, NY USA 10020	212-209-9300	https://www.mizuho-sc.com
MNP CORPORATE FINANCE INC.	EMD	Suite 2000 330-5 Avenue SW Calgary AB T2P 0L4	403-269-1011	https://www.mnp.ca/en/services/corporate-finance
MORBANK FUND MANAGEMENT INC.	EMD/IFM/RPM	10 Copperfield Way SE Calgary AB T2Z 5H1	403-205-4110	https://www.morbank.ca
MORGAN STANLEY WEALTH MANAGEMENT CANADA INC.	ID	140 4th Avenue SW Suite 2740 Calgary AB T2P 3N3	403-351-3900	https://www.morganstanley.com/about-us/global-offices/americas/canada
MOUNTAIN INVESTMENT CORP.	EMD/IFM/RPM	#2299 246 Stewart Green SW Calgary AB T3H 3C8	403-764-0517	https://www.micorp.ca
NATCAN TRUST COMPANY	PM	800 Saint-Jacques Street 3rd Floor Montreal QC H3C 1A3	888-835-6281	https://www.nbc.ca
NCM ASSET MANAGEMENT LTD.	IFM/PM	Dome Tower, Suite 1850 333, 7 Avenue S.W. Calgary AB T2P 2Z1	403-531-2650	https://www.ncminvestments.com
NETWORTH FINANCIAL CORP	MFD	20 - 5579 47th Street Red Deer AB T4N 1S1	587-457-2661	
NORTH ROAD INVESTMENT COUNSEL INC.	PM	11523 100 Ave NW #402 Edmonton ABT5K0J7	780-638-2490	https://www.northroadic.com

Total Firm Number: 109

NORTHBRIDGE CAPITAL PARTNERS LTD.	EMD/IFM/RPM	Bow Valley Square 2 Suite 1420, 205 5th Ave SW Calgary AB T2P 2V7	403-303-4490	https://www.northbridgecapital.ca/
NORTHFRONT FINANCIAL INC.	EMD/IFM/PM	Suite 330 - 23 Sunpark Drive SE Calgary AB T2X 3V1	403-571-8960	https://www.northfront.com
ONE EXCHANGE FUTURES CORP.	RD	530 - 8th Ave. SW Suite 510 Calgary AB T2P 3S8	403-215-5509	http://www.oneexchangecorp.com/
ONEVEST MANAGEMENT INC.	IFM/PM	700 - 2nd Street SW Floor 19 Calgary AB T2P 2W2	888-808-0348	https://www.onevest.com
PALISADE CAPITAL MANAGEMENT LTD.	EMD/IFM/PM	700 - 2 Street SW Suite 600 Calgary ABT2P2W1	403-531-2678	https://www.palisade.ca
PERRY SECURITIES LTD.	ID	#102, 10135 - 101 Avenue Grande Prairie AB T8V0Y4	780-532-7717	
PETERS & CO. LIMITED	ID	2300 Jamieson Place 308 - 4th Avenue SW Calgary AB T2P 0H7	403-261-4850	http://www.petersco.com
PEWTER FINANCIAL LTD	MFD	43 HOLLYBURN ROAD S.W. Calgary AB T2V3H2	403-255-1711	
PINNACLE WEALTH BROKERS INC.	EMD/IFM/PM	Suite 320 - 15 Royal Vista Place NW Calgary AB T3R 0P3	855-628-4286	https://www.pinnaclewealth.ca
PORTFOLIO STRATEGIES CORPORATION	EMD/MFD/IFM	1850-14 Street SW Calgary AB T2T 3S9	403-252-5222	http://www.portfoliostrategies.ca
PRIVITI CAPITAL CORPORATION	IFM/RPM	Suite 850, 444- 5th Avenue SW Calgary AB T2P 2T8	403-263-9943	https://www.priviticapital.com
QUADRUM CAPITAL CORP.	EMD	640, 340-12 Ave SW Calgary AB T2R 1L5	403-705-0650	https://www.quadrummortgage.com
QUBE INVESTMENT MANAGEMENT INC.	PM	200, 9414 91 Street Edmonton AB T6C 3P4	780-463--2688	https://www.qubeinvest.ca
QV INVESTORS INC. / INVESTISSEURS QV	IFM/PM	Suite 1008, Livingston Place, South Tower 222 - 3rd Avenue SW Calgary AB T2P 0B4	403-265-7007	https://www.qvinvestors.com
RAINTREE FINANCIAL SOLUTIONS INC.	EMD	Westgate Business Park 10243-178 St. Edmonton AB T5S 1M3	780-443-0340	https://www.raintreeFS.com
RAINTREE WEALTH MANAGEMENT INC.	IFM/PM	10243-178 Street NW Edmonton AB T5R2A1	780-443-0340	https://www.raintreewm.com
RAYNE CAPITAL MANAGEMENT INC.	EMD/IFM/PM	Suite 850, 110 - 9 Ave SW Calgary AB T2P 0T1	403-263-1991	https://www.raynecapital.com
REFRACTION ASSET MANAGEMENT LTD.	EMD/IFM/PM	202-6th Avenue SW Suite 1110 Calgary AB T2P 2R9	587-352-5071	https://www.refractionam.com
RETIRE FIRST LTD.	ID	Suite 101 4610 - 49th Avenue Red deer AB T4N 6M5	403-314-5553	https://retirefirst.com
RICHMOND EQUITY MANAGEMENT LTD.	EMD/IFM/PM	#3420, 400 - 3rd Ave SW Calgary ABT2P4H2	403-538-7330	https://www.richmondequity.com

Total Firm Number: 109

ROQ CAPITAL PARTNERS LTD.	EMD/IFM/RPM	1200 - 1015 4th Street SW Calgary AB T2R 1J4	403-263-6446	https://www.paragoncorp.ca
SANDSTONE ASSET MANAGEMENT INC.	ID/IFM	115, 101 - 6th Street SW Calgary ABT2P5K7	403-218-6125	https://www.sandstoneam.com
SECTOR MORTGAGE MANAGEMENT INC.	EMD/IFM/RPM	#112, 205 Carnegie Drive St. albert AB T8N 5B2	780-702-8100	
SHOULDICE WEALTH LTD.	PM	241 Lucas Crescent NW Calgary AB T3H 1M8	587-316-1881	https://www.shouldicewealth.com
SIA WEALTH MANAGEMENT INC.	EMD/IFM/PM	Suite 1510, 250 - 6th Ave S.W. Bow Valley Square 4 Calgary AB T2P 3H7	403-744-5190	www.siawealth.com
TAILWIND EMD INC.	EMD/PM	Suite 940, 150-9th Ave. SW Calgary AB T2P 3H9	403-618-8035	https://www.tailwindassociates.ca
TOWER ASSET MANAGEMENT INC.	PM	P.O. Box 4550 Three Hills AB T0M 2N0	403-826-5458	https://www.towerasset.ca
TRANSITION FINANCIAL ADVISORS GROUP, INC.	PM	Suite 106-618, 2487 S Gilbert Road Gilbert AZ USA 85295	480-722-9414	https://www.transitionfinancial.com
TRI VIEW CAPITAL LTD.	EMD	88 Aspen Stone Crescent SW Calgary AB T3H 5Y8	403-984-6570	http://www.triviewcapital.com
TUDOR, PICKERING, HOLT & CO. SECURITIES - CANADA, ULC	ID	#2110, 250 - 6th Avenue SW Calgary AB T2P 3H7	403-705-7830	https://www.tphco.com/calgary
UMC FINANCIAL MANAGEMENT INC.	EMD/IFM/RPM	201, 14020- 128 Avenue Edmonton AB T5L 4M8	780-421-4141	http://www.umcfinancial.ca
UNBIASED PORTFOLIO MANAGEMENT INC.	PM	Suite 5, 1204-3rd Street SE Calgary AB T2G 2S9	403-671-1707	https://www.unbiasedadvice.com
VESTA WEALTH PARTNERS LTD.	EMD/IFM/PM	Suite 640 330, 5 Avenue SW Calgary AB T2P 0L4	403-930-0700	https://www.vestawealth.partners
VIEWPOINT INVESTMENT PARTNERS CORPORATION	EMD/IFM/PM	4301,400-3rd Avenue Calgary AB T2P4H2	1-844-393-8465	https://www.viewpointinvestment.ca
WEALTHBRIDGE INVESTMENT COUNSEL INC.	PM	200 Doll Block 116 - 8th Ave. SE Calgary AB T2G 0K6	403-669-0543	https://wealthbridge.com/
WEALTHCO ASSET MANAGEMENT INC.	EMD/IFM/PM	#350 - 205 Quarry Park Blvd SE Calgary AB T2C 3E7	403-537-5853	https://www.wealthco.ca
ZELOS CAPITAL LTD.	EMD/IFM/PM	606 4th Street SW 11th Floor Calgary AB T2P 1T1	587-885-1940	https://www.zelosglobal.com

Total Firm Number: 109